



1632

ATTORNEY DOCKET NUMBER: 2002834-0069

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sampson, et al. Examiner:  
Serial No.: 09/989,658 Art Unit: 1632  
Filing Date: November 20, 2001  
Title: ANIMAL MODEL OF ALLERGIES

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

TRANSMITTAL LETTER

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OCT 15 2002

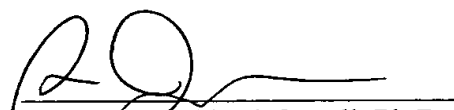
Enclosed are the following documents:

TECH CENTER 1600/2900

1. Written Assertion of Small Entity Status (1 page);
2. Appointment of Attorney (1 page);
3. Document Establishing Right of Assignee to take Action (1 page);
4. Copy of Recorded Assignment (3 pages);
5. Statement of Assignee (1 page); and
5. Return Postcard

If any additional fees are required to be paid or if any overpayment has been made, please charge same to Deposit Account No. 03-1721.

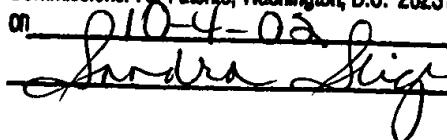
Respectfully submitted,

  
Brenda Herschbach Jarrell, Ph.D.  
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Dated: October 4, 2002

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on

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WASHINGTON, D.C. 20231

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Sir:

WRITTEN ASSERTION OF SMALL ENTITY STATUS  
UNDER 37 CFR §1.27(a)(3)  
NON-PROFIT ORGANIZATION

The undersigned hereby asserts entitlement to small entity status as a non-profit organization under 37 CFR §1.27(a)(3) on behalf of Mount Sinai School of Medicine of New York University.

This assertion is made on the ground that Mount Sinai School of Medicine of New York University is either:

(a) a university or other institution of higher education located in any country;

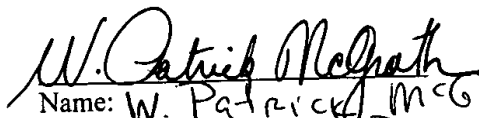
(b) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1986 (26 USC §501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 USC §501(a));

(c) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 USC §201(i)); or

(d) any nonprofit organization, located in a foreign country, that would qualify as a nonprofit organization under paragraphs (b) or (c) above if it were located in this country,

and *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27.

Respectfully Submitted,

  
Name: W. Patrick McGnath  
Title: EXECUTIVE DIRECTOR, OIPE  
Date: 27 Mar 02

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